

FIG. 1

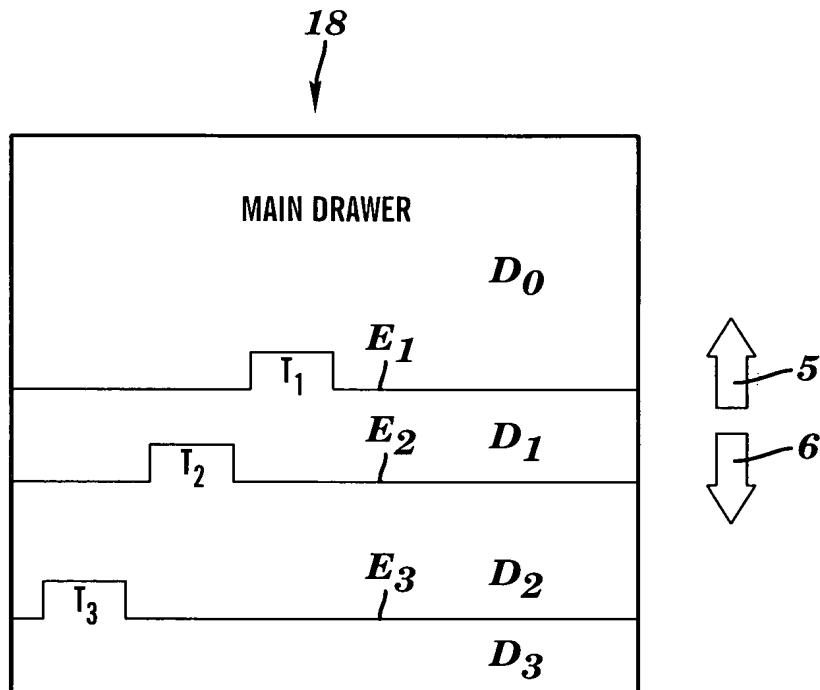


FIG. 2

FIG. 19 OF 20

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18
↓

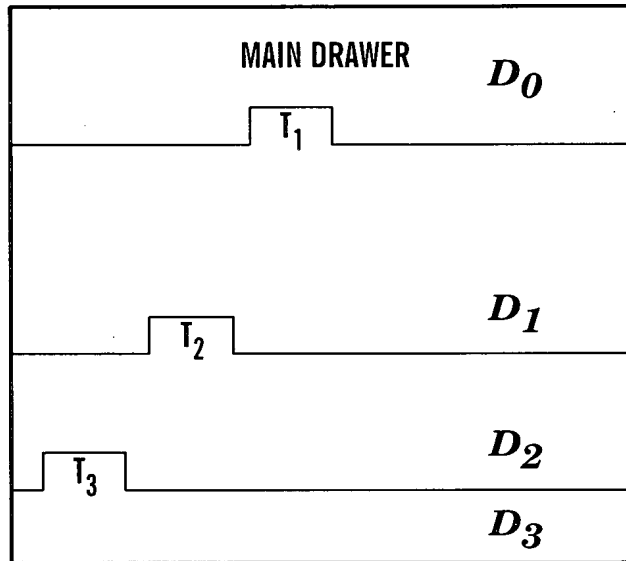


FIG. 3

18
↓

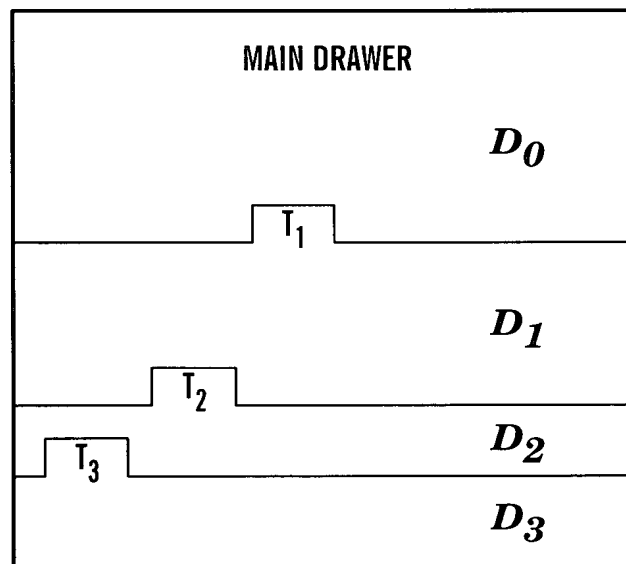


FIG. 4

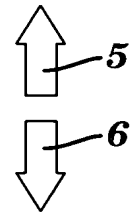
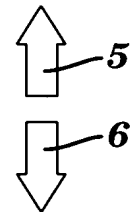


FIG. 6



NYSE
New York Stock Exchange

Market OPEN
Ed. 14.2001

you can not execute order 1, 2, 3, 4
Previously, 3, 4, 1 and Data Screen

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DATA

Print

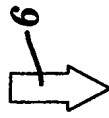
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20



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22

FIG. 7

36 34 24 26

NYSE
New York Stock Exchange

Data

Market OPEN
Fri Jan 14 2000 10:30 AM
You can now execute trades faster than ever.
Available: Test Panel and Data Window

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☐ IMBALANCES
☐ CURRENT QUOTES
☒ Xpress Quotes/Archival
☐ Volcode
☐ Special Panels
☐ Email
☐ Logout

SORT BY: ☒ TIME ☐ ALPHABETICAL ☐ SIZE OR SELECT COLUMN TITLE

FILTER BY PORTFOLIO None 21

Type	Symbol	Bid	Offer	Bid Vol	Offer Vol	Status	Last Request	Contact
	QVE	25.00	30.00			ITS Ind		
	GPS	25.18	25.68			ITS Ind		
	AEP	63.88	64.88			ITS Ind		
	SGP	0.00	0.00			Cancel ITS Ind		
	LUV	33.70	34.20			ITS Ind		
	TMS	46.00	46.50			ITS Ind		
	PBK	27.10	27.80			ITS Ind		
	ENR	21.00	21.50			ITS Ind		
	COD	47.45	48.25			ITS Ind		
		30.75	31.25			ITS Ind		

NAVIGATORS SEARCH

FIG. 10

NYSE
New York Stock Exchange

Market OPEN
Friday, Jan 14, 2000
10:00 AM EST

Express 3M
INSTITUTIONAL Xpress®
You can now execute trades
from your rebalancer

XPRESS Quote/Workflow: Print Notices Post/Parade E-mail Etopout

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MY PORTFOLIOS

SORT BY: TIME

ALPHABETICAL

SIZE

OR SELECT COLUMN TITLE

Filter by Portfolio

My Alerts

21

Type	Synthetic	Bid	Offer	Bid Vol	Offer Vol	Status	Alert Request	Contact
12:03:31 IBM						Market Ind Buy		
12:02:04 IBM		108.13	109.13			Ind		
09:02:01 FBI		27.0	27.00			ITS Ind		
09:30:25 MMM		0.00	0.00			Cancel ITS Ind		
09:26:40 IBM		108.00	109.00			ITS Ind		
09:26:07 IBM		107.00	108.00			ITS Ind		
09:25:43 MMM		120.50	121.50			ITS Ind		

MY ALERTS

SEARCH

FIG. 10

7/16

20

5

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31

36 34 24 26

FIG. 11

NYSE
New York Stock Exchange

Market Open
09:30 AM 08/16/2000

XPRESS INFORMATION

PRE-OPENING ITS

OR SELECT COLUMN TITLE

ALPHABETICAL

SIZE

XPRESS

INSTITUTIONAL XPRESS

DATA

MY PORTFOLIOS

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XPRESS INFORMATION

PRE-OPENING ITS

OR SELECT COLUMN TITLE

ALPHABETICAL

SIZE

XPRESS

INSTITUTIONAL XPRESS

DATA

MY PORTFOLIOS

XPRESS

QUOTOS ARCHIVE

PRINT FINANCIALS

POST/PRINT

EMAIL

LOGOUT

Time	Symbol	Bid	Offer	Bid Vol	Offer Vol	Status	Market Basket	Contact
09:32:31	PEIK	27.00	27.30			ITS Ind		
09:30:25	MMW	0.00	0.00			Cancel ITS Ind		
09:25:40	IBM	108.00	109.00			ITS Ind		
09:26:07	IBM	107.00	108.00			ITS Ind		
09:25:43	MMW	120.50	121.50			ITS Ind		

MY ALERTS

SEARCH

8/16

FIG. 11

36 34 24 26

NYSE New York Stock Exchange		Market Open Fri Feb 04 2000		Data Express SM INSTITUTIONAL EXPRESS		Print Portfolio Postcard E-mail Logout	
EXPRESS INFORMATION		PRE-OPENING ITS		CURRENT QUOTES		MY PORTFOLIOS	
SORT BY: TIME ALPHA BETICAL SIZE		OR SELECT COLUMN TITLE		FILTER BY PORTFOLIO		My Alerts 21	
Type	Symbl	Bid	Offer	Ext Vol	Offr Vol	Status	Halt Reason
12:03:50 IBM						Mark and Buy	
12:03:51 IBM						Resume	
ENTER SYMBOL IBM				SEARCH [Enter]		Symbol Lookup	
15:40:20 IBM				282400		Mkt Imb Buy	
12:03:50 IBM						Resume	
12:03:51 IBM						Halt News Pending	
MY ALERTS		108.13	109.13			Ind	
Below are stocks with an Alert condition with a positive status. Click or symbol column to show Alert information.							
PBR	IBM	Initial					

FIG. 12

9/16

5.

9.

22

26

28.

24.

29.

34.

36.

-43

42

47

FIG. 13

NYSE
New York Stock Exchange

Market OPEN
121.50 121.5000

XPRESS
INSTITUTIONAL XPRESS

XPRESS INFORMATION

PRE OPENING ITS

IMBALANCES

CURRENT QUOTES

MY PORTFOLIOS

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DATA

Filter by Portfolio

My Alerts

Sort by: TIME

Alphabetical

Size

OR SELECT COLUMN TITLE

Time	Symbol	3M	Offer	Bid	Offer Vol	Status	My Alerts	Current
09:25:43 AM	IBM	120.00	121.50			ITS Ind		
12:02:04 PM	IBM	108.13	109.13			Ind		
09:26:40 PM	IBM	108.00	109.00			ITS Ind		
09:26:07 PM	IBM	107.00	108.00			ITS Ind		

ENTER SYMBOL

IBM

SEARCH

Symbol Lookup

12:02:04 PM	IBM	108.13	109.13			Ind		
09:26:40 PM	IBM	108.00	109.00			ITS Ind		
09:26:07 PM	IBM	107.00	108.00			ITS Ind		

MY ALERTS

113500

MOE Imb Buy

PER

IBM

121.50

IBM

121.50

10/16

FIG. 13

24

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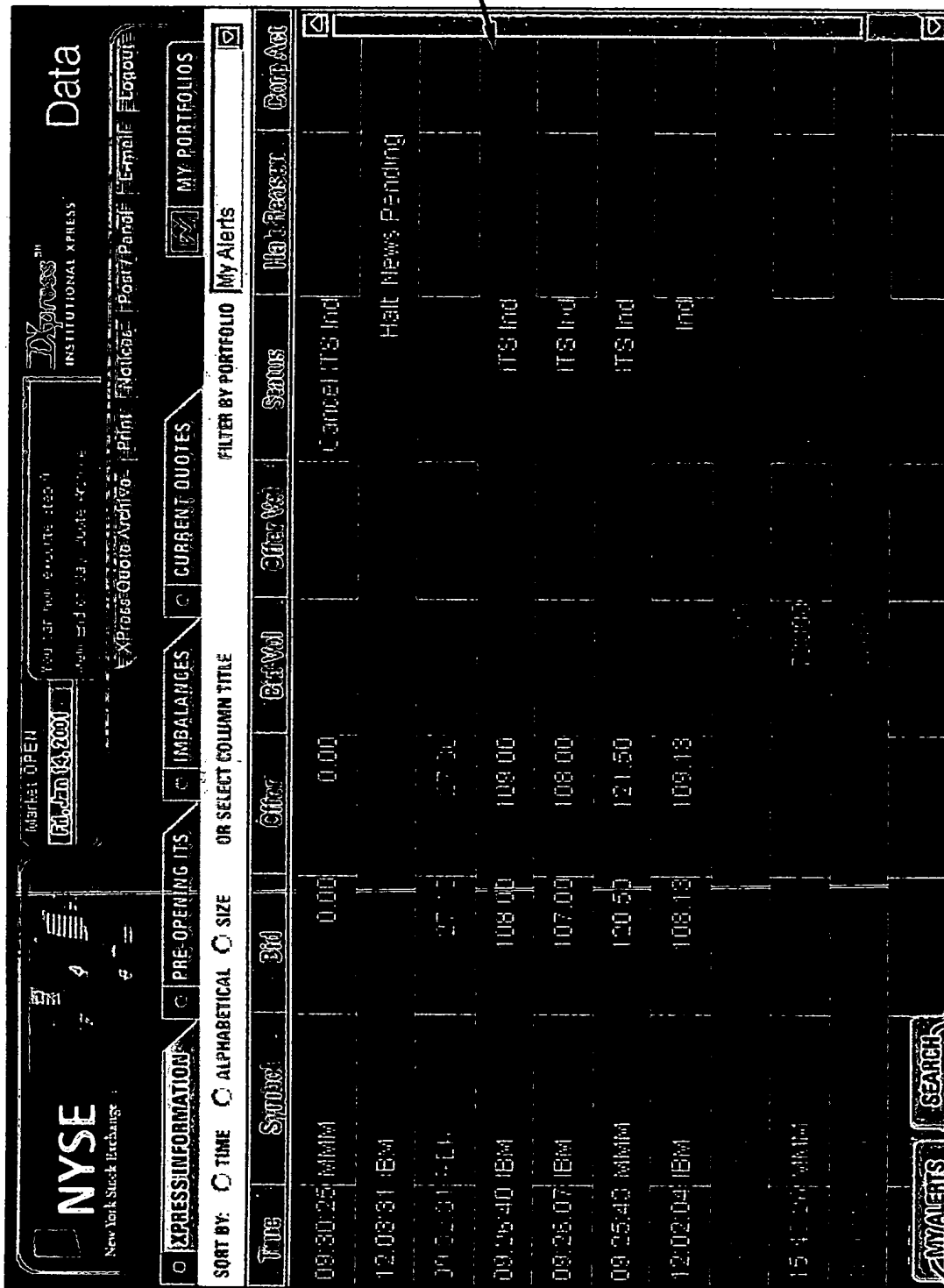
5

6

[illegible]

FIG. 14

101250 66793360

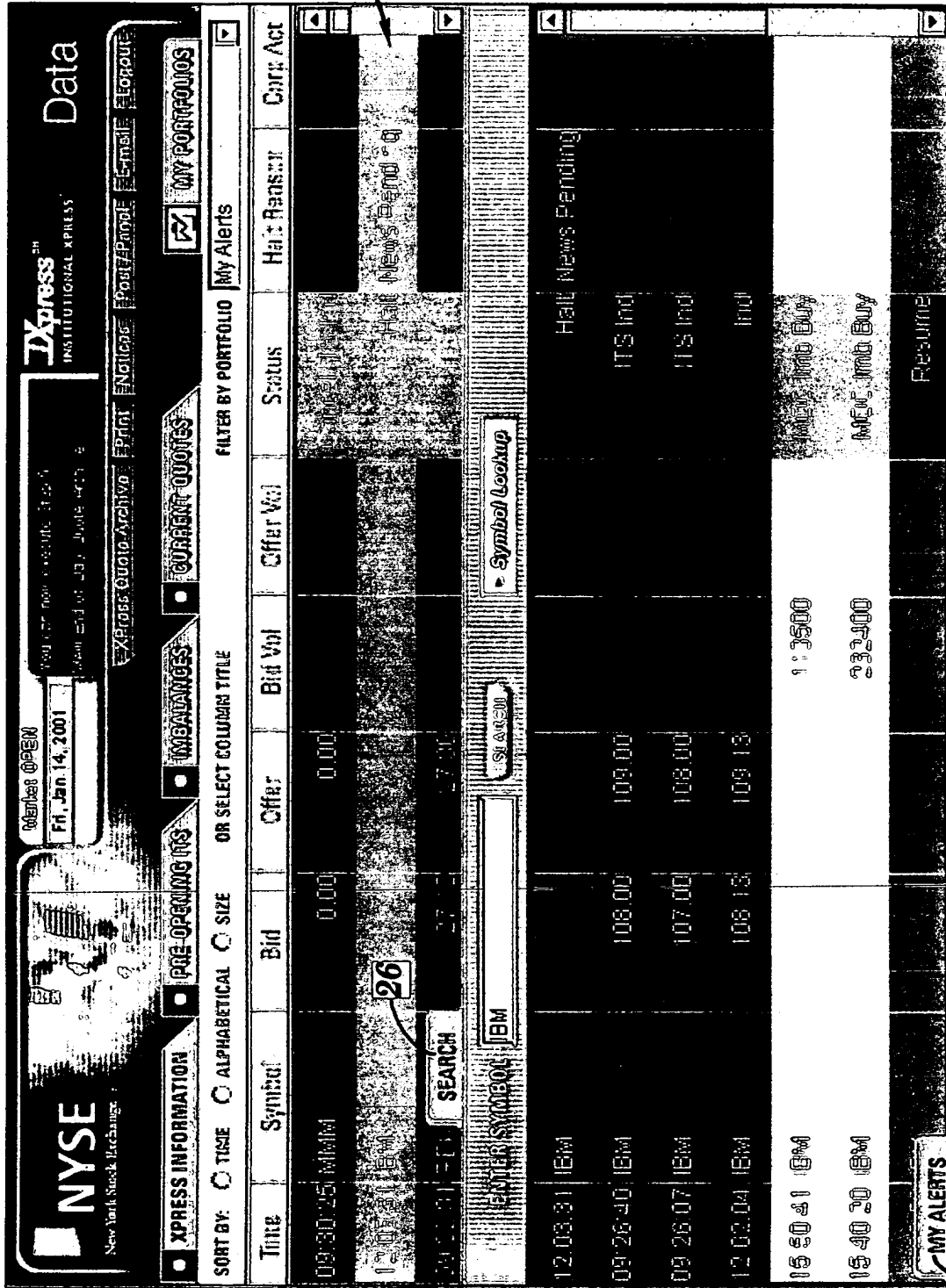


12/16

FIG. 15

36 34 24 26

FILED 2001 JAN 14 10 50 AM



13/16

FIG. 16

36 34

FIG. 17

NYSE
New York Stock Exchange

Market OPEN
12/15/00 14:20:00

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My Alerts

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Type	Symbol	Bid	Offer	Bt Vol	Offer Vol	Status	My Alerts	Contact
IBM						IBM, IBM Bid		
IBM						IBM, IBM Bid		
IBM						IBM, IBM Bid		
IBM						IBM, IBM Bid		
12 03 50 IBM						Resume		
12 03 31 IBM						Halt News Pending		
12 02 04 IBM		108.13	109.13			Ind		
MY ALERTS	SEARCH	0.03	0.00			Cancel ITS Ind		

FEED

IBM

12/15/00

14/16

FIG. 17

34
36

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22

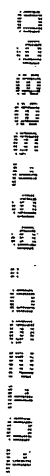
5

6

43

42

41

[illegible][illegible]

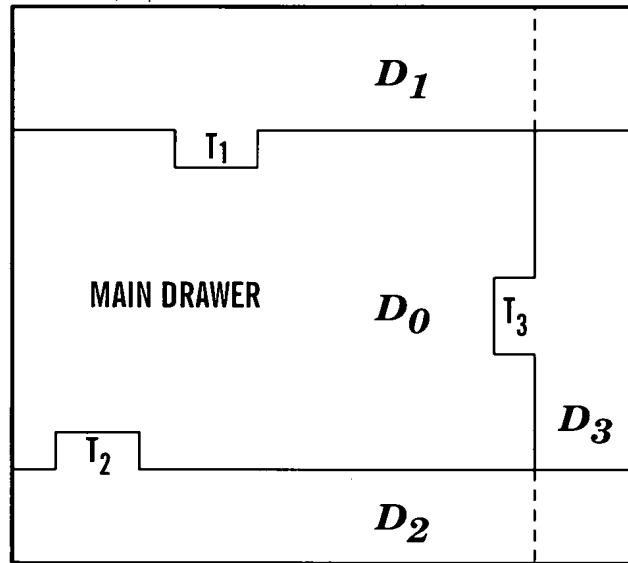


FIG. 20

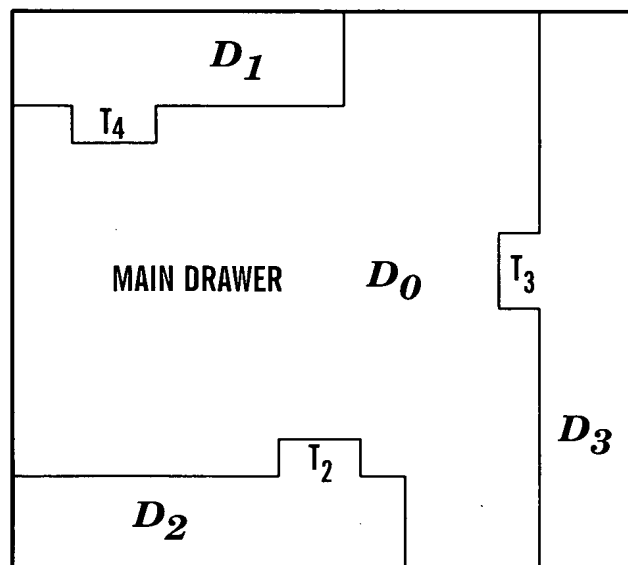


FIG. 21